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Date: <u>July 23, 1999</u> Docket No.:<u>2907-101P</u>

BOX PATENT APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

As authorized by the inventors, transmitted herewith for filing is a patent application applied for on behalf of the inventors according to the provisions of 37 C.F.R. § 1.41(c).

Inventor(s):

Robert Scott NIEBOER

Pedro (Peter) V. BALCARCE

Ivan N. ZHIDOV

Micah James ELDRED

For: AUTOMATED SYSTEM FOR CONDITIONAL ORDER TRANSACTIONS

IN SECURITIES OR OTHER ITEMS IN COMMERCE

Enclosed are:

- X A specification consisting of thirty-four (34) pages
- X ten (10) sheet(s) of formal drawings
- Certified copy of
- X Executed Declaration in accordance with 37 C.F.R. § 1.64 is enclosed
- X A verified statement to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27
- Preliminary Amendment
- Information Sheet





- Information Disclosure Statement, PTO-1449 and reference(s)
- Assignment with Recordation Cover sheet X

The filing fee has been calculated as shown below:

_			LARGE ENTITY	SMALL ENTITY
	BASIC FEE		\$760.00	\$380.00
	NUMBER FILED	NUMBER EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	20 - 41 =	21	х 18 =	x 9 = \$189.00
INDEPENDENT CLAIMS	" 3•2≖,	0	х 78 =	x 39 = \$
MULTIPLE DEPENDENTCLAIMS PRESENTED			+ \$260.00	+ \$130.00
		TOTAL	\$	\$569.00

- The application transmitted herewith is filed in accordance with 37 <u>X</u> C.F.R. § 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application.
- A check in the amount of \$609.00 to cover the filing fees (\$569.00) X and recording fee (\$40.00) for the Assignment is enclosed.
- Send correspondence to: \mathbf{X}

ANTHONY LAWS BIRCH 6915 Barrett Lane Bethesda, MD 20814

Respectfully submitted,

Anthony Laws Birch

Reg. No.26,122

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ALB/bb 2907-101P attachments of Language .

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